

10 Rev

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
HERSCOVICI ET AL.

Examiner: NOT ASSIGNED

Art Unit: NOT ASSIGNED

Serial No.: 09/857,008

Filed: **MAY 30, 2001**

VIA HAND DELIVERY
JANUARY 14, 2003

Title: **NOVEL NUCLEIC ACID
TRANSFERRING AGENTS,
COMPOSITIONS CONTAINING
THEM AND USES**

TRANSMITTAL LETTER

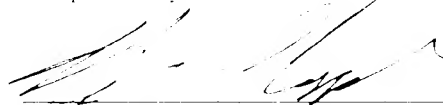
Commissioner for Patents
Box PCT
Washington, D.C. 20231

Sir:

In response to a "Notification of Defective Response" issued on January 9, 2003 by the United States Patent and Trademark Office in connection with the above-identified Application (herein after "Notice"), Applicants hereby file the following materials with the United States Patent and Trademark Office on January 14, 2003 via hand delivery:

1. Paper copy of the sequence listing for this Application;
2. Diskette containing a computer readable form (CRF) of the sequence listing;
3. A Statement under 37 CFR 1.821(f);
4. A copy of the Notice; and
5. A return postcard.

Respectfully submitted,



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U.S. Patent No. 6,812,000



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PC1
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO	FIRST NAMED APPLICANT	ATTY. DOCKET NO
09:857,008	Jean Herscovici	ST98046 US PCT

005487
ROSS J. OEHLER
AVENTIS PHARMACEUTICALS INC.
ROUTE 202-206, MAIL CODE: D303A
BRIDGEWATER, NJ 08807

INTERNATIONAL APPLICATION NO.

PCT/FR99/02995

I.A. FILING DATE

PRIORITY DATE

12/02/1999

CONFIRMATION NO. 9262

371 FORMALITIES LETTER



OC000000009356128

Date Mailed: 01/09/2003

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

Applicant's response filed 05/30/2001 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 07/09/2001 have not been completed.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- Amendments to the sequence listing in the form of a paper copy or compact disc of the "Sequence Listing" as required by 37 CFR 1.821(e).
- **APPLICANT MUST PROVIDE:**
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase PatentIn Software, call (703) 306-2600
 - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHRISTINE S WASHINGTON

Telephone: (703) 305-3752

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/857,008	PCT/FR99/02995	ST98046 US PCT

FORM PCT/DO/EO/916 (371 Formalities Notice)